

1033 U.S. PTO  
09/837510  
04/18/01



*Handwritten:* #13  
PATENT  
2/27/98

Attorney Docket No. KIRON 100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of )  
Kenneth Kiron and )  
Kevin S. Bander )  
Serial No.: 08/542,431 )  
Filed: October 12, 1995 )  
Examiner: Stephen R. Tkacs )  
Art Unit: 2411 )  
For: OPEN END MUTUAL FUND )  
SECURITIZATION PROCESS )

Assistant Commissioner for Patents  
Washington, D.C. 20231

AMENDMENT

Please amend the above-identified as follows:

IN THE SPECIFICATION:

Please substitute the accompanying specification for that  
filed on October 12, 1995.

IN THE CLAIMS:

Please cancel Claims 3-13 and 16-20 without prejudice.

Please amend Claim 14 as follows:

*Handwritten:* 14. (Amended) An electronic data processing method for  
administering a financial product having a fixed number of shares  
over a predetermined period of time, so that the financial product